

# Sequence Comparison: Significance of similarity scores

Genome 373

Genomic Informatics

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# Review

**Global alignment algorithm:**

*Needleman-Wunsch.*

		G	A	A	T	C	
		0	-4	-8	-12	-16	-20
C	-4	-5	-9	-13	-12	-6	
A	-8	-4	5	1	-3	-7	
T	-12	-8	1	0	11	7	
A	-16	-12	2	11	7	6	
C	-20	-16	-2	7	11	17	

**Local alignment algorithm:**

*Smith-Waterman.*

		A	A	G
	0	0	0	0
G	0	0	0	2
A	0	2	2	0
A	0	2	4	0
G	0	0	0	6
G	0	0	0	2
C	0	0	0	0

# Are these proteins related?

The intuitive answer:

**SEQ 1:** RVVNLVPS--FWVL DATYKNYA INYNCDV TYKLY

L P            L    Y N        Y C        L

score = -1 → NO?

**SEQ 2:** QFFPLMPPAPYFILATDYENLPLVYSCTTFFWLF

**SEQ 1:** RVVNLVPS--FWVL DATYKNYA INYNCDV TYKLY

L P        W L DATYKNYA    Y C        L

score = 15 → PROBABLY?

**SEQ 2:** QFFPLMPPAPYWIL DATYKNYA LVYSCTTFFWLF

**SEQ 1:** RVVNLVPS--FWVL DATYKNYA INYNCDV TYKLY

RVV L PS        W L DATYKNYA    Y CDV TYKL

score = 24 → YES?

**SEQ 2:** RVVPLMPSAPYWIL DATYKNYA LVYSCDV TYKLF

# Significance of scores

HPDKKAHSIHAWILSKSKVLEGNTKEVVDNLKT

Alignment  
algorithm

45

Low score = unrelated  
High score = related

LENENQGKCTIAEYKYDGKKASVYI

**But ...**  
**How high is high  
enough?**

# The null hypothesis

- We want to know how **surprising** a given score is, ...  
assuming that the two sequences are not related.
- This assumption is called the **null hypothesis**.
- The purpose of most statistical tests is to determine whether the observed result provides a reason to reject the null hypothesis.
- We want to characterize the distribution of scores from pairwise sequence alignments.

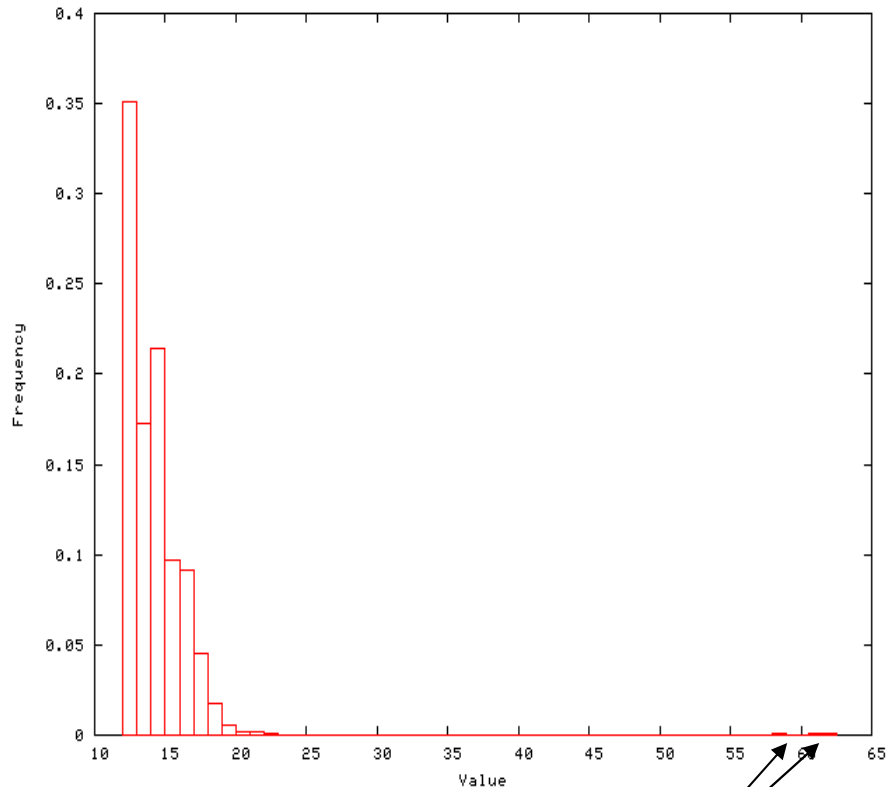
# Sequence similarity score distribution



- Search a **randomly generated** database of sequences using a given query sequence.
- What will be the form of the resulting distribution of pairwise alignment scores?

# Empirical score distribution

- This shows the distribution of scores from a **real** database search using BLAST.
- This distribution contains scores from a few related and lots of unrelated pairs.

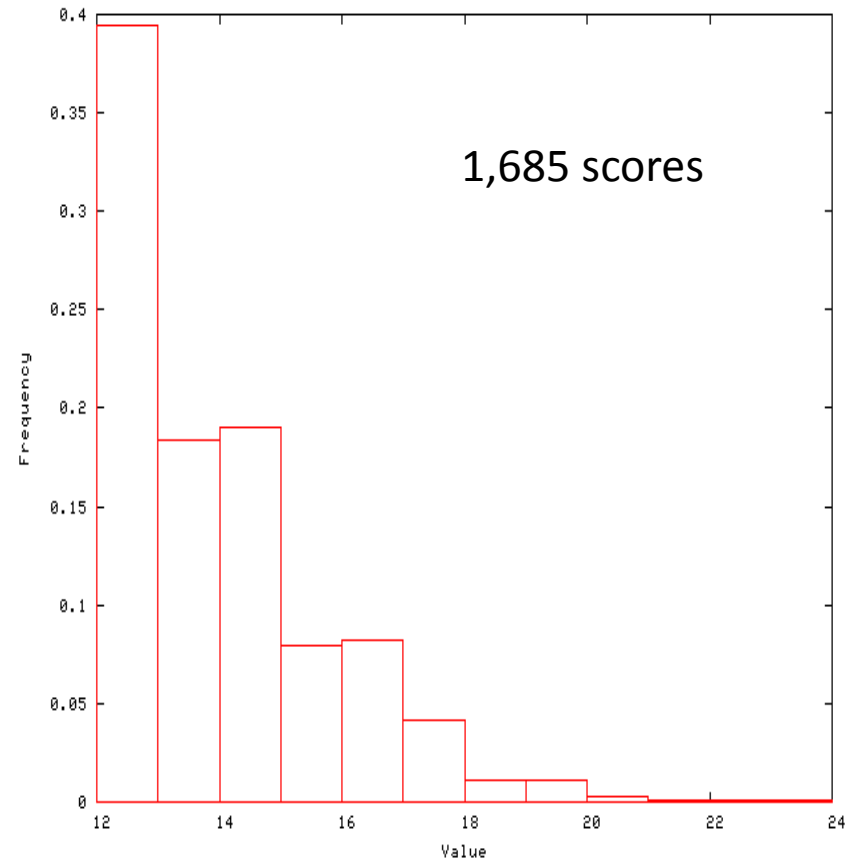


High scores from related sequences

(note - there are lots of lower scoring alignments not reported)

# Empirical null score distribution

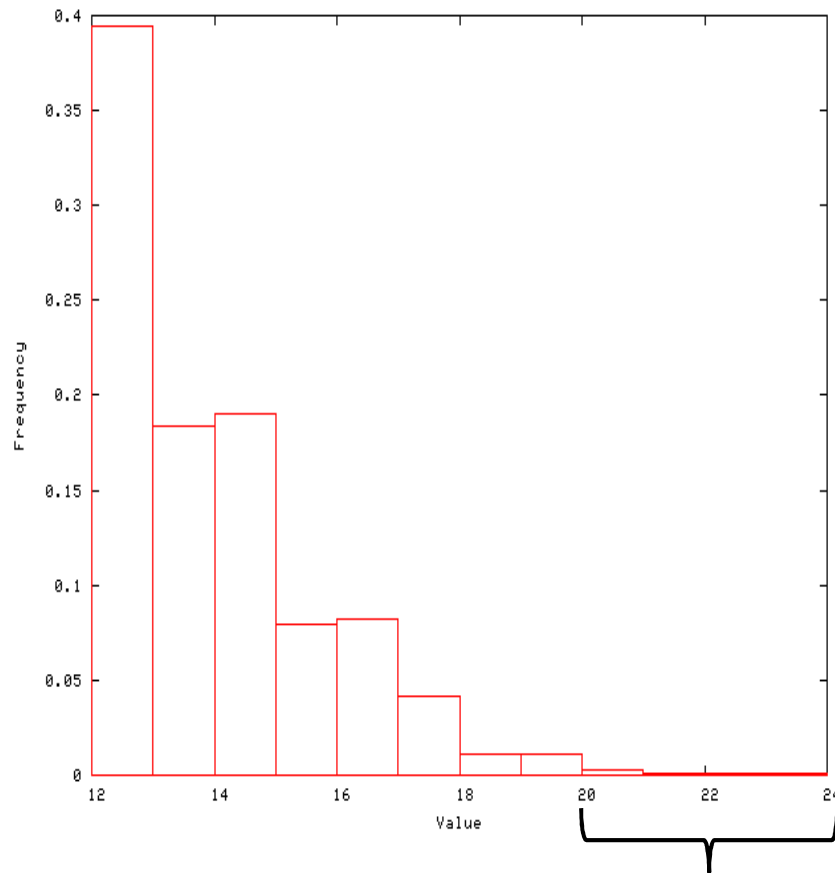
- The distribution of scores obtained from aligning a given sequence to a database of **randomized** sequences (e.g., each sequence was shuffled)



(note - there are lots of lower scoring alignments not reported)



# Computing an empirical p-value



- The probability of observing a score  $\geq X$  is the area under the curve to the right of  $X$ .
- This probability is called a p-value.
- **p-value =  $\Pr(\text{data} | \text{null})$**   
(read as probability of data given a null hypothesis)

e.g. out of 1,685 scores, 28 received a score of 20 or better. Thus, the p-value associated with a score of 20 is  $\sim 28/1685 = 0.0166$ .

# Problems with empirical distributions

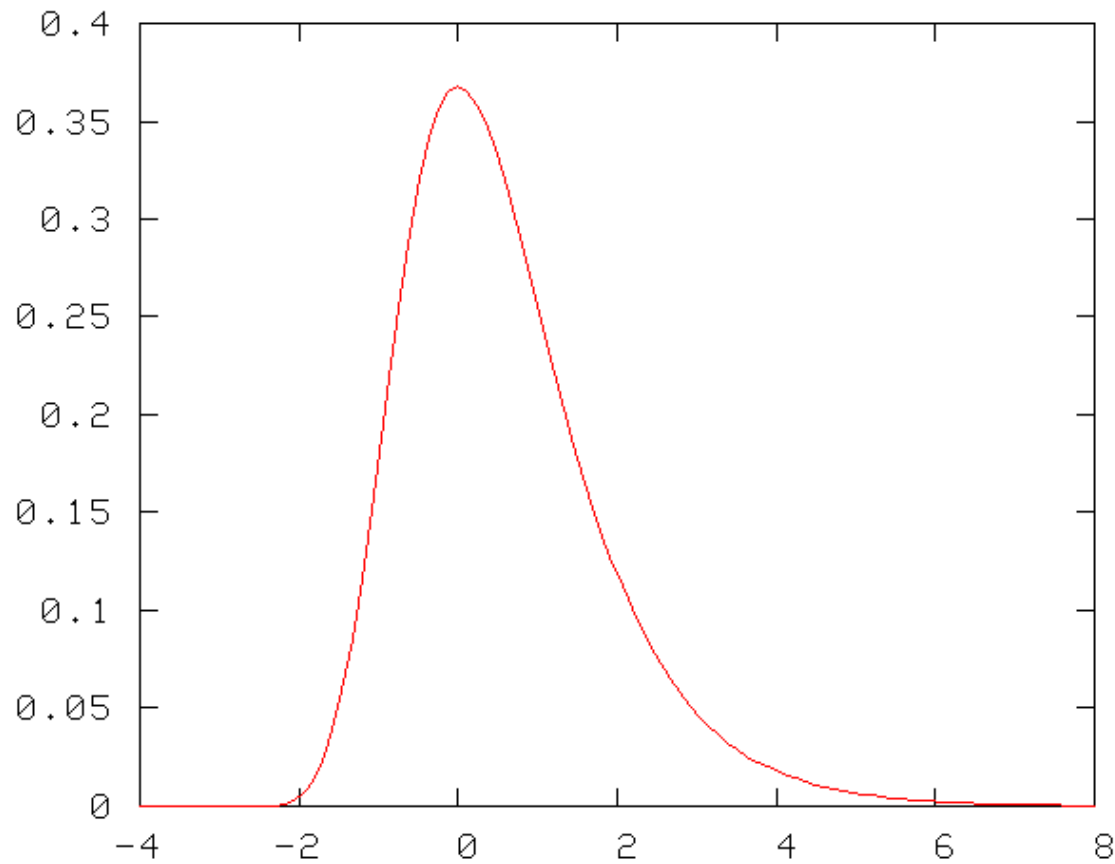
- We are interested in very small probabilities.
- These are computed from the *tail* of the null distribution.
- Estimating a distribution with an accurate tail is feasible but computationally very expensive because we have to make a very large number of alignments.

# A solution

- Characterize the form of the score distribution **mathematically**.
- Fit the parameters of the distribution empirically (or compute them analytically).
- Use the resulting distribution to compute accurate p-values.

(first solved by Karlin and Altschul)

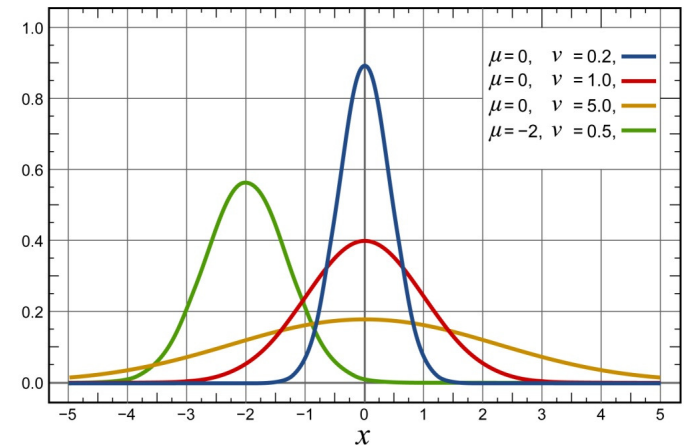
# Extreme value distribution



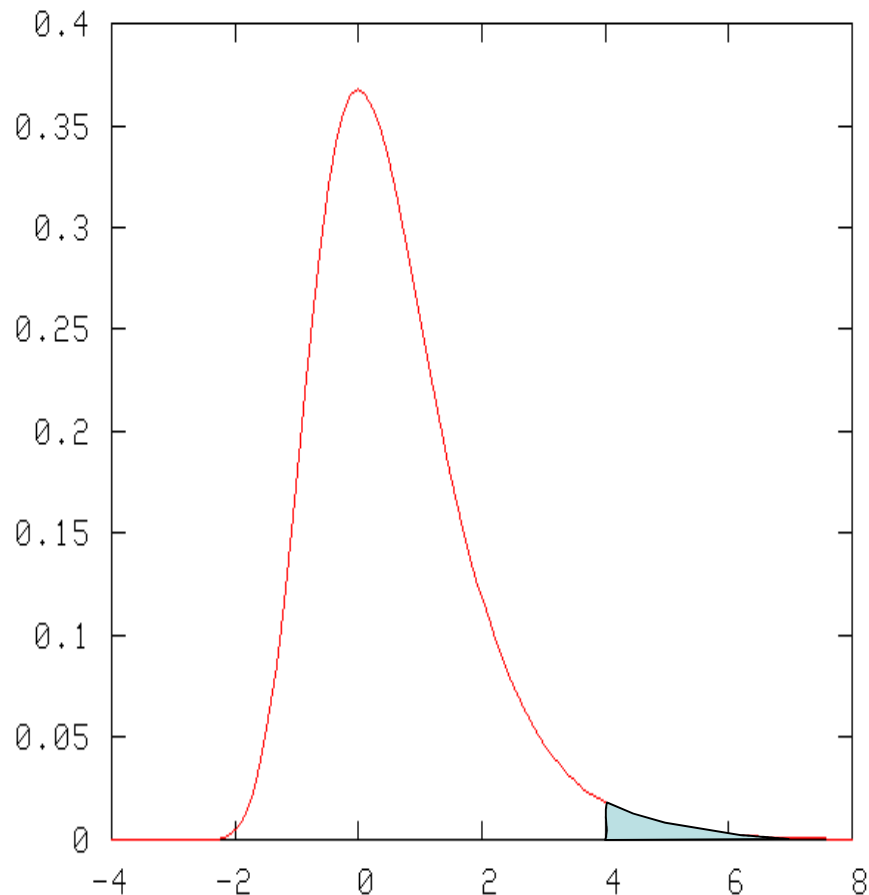
This distribution is roughly normal near the peak, but characterized by a larger tail on the right.

- For an Unscaled EVD:

$$P(S \geq x) = 1 - e^{(-e^{-x})}$$



# Computing a p-value



- The probability of observing a score  $\geq 4$  is the area under the curve to the right of 4.
- For an *Unscaled EVD*:

$$P(S \geq x) = 1 - e^{(-e^{-x})}$$

$$P(S \geq 4) = 1 - e^{(-e^{-4})}$$

$$P(S \geq 4) = 0.018149$$

# What p-value is significant?

- The most common thresholds are 0.01 and 0.05.
- A threshold of 0.05 means you are 95% sure that the result is significant.
- Is 95% enough? It depends upon the cost associated with making a mistake.
- Examples of costs:
  - Doing extensive wet lab validation (expensive)
  - Making clinical treatment decisions (very expensive)
  - Misleading the scientific community (very expensive)
  - Doing further simple computational tests (cheap)
  - Telling your grandmother (very cheap)

# Multiple testing

- Say that you perform a statistical test with a 0.05 threshold, but you repeat the test on twenty different observations (e.g. 20 different blast runs)
- Assume that all of the observations are explainable by the null hypothesis.
- What is the chance that at least one of the observations will receive a p-value < 0.05?

$$1 - 0.95^{20} = 0.6415$$

# Bonferroni correction

- Assume that individual tests are *independent*.
- Divide the desired p-value threshold by the number of tests performed.



# Database searching

- Say that you search the non-redundant protein database at NCBI, containing roughly one million sequences (i.e. you are doing  $10^6$  pairwise tests).
- and ... you want to use a p-value of 0.01.
- Recall that you would observe such a p-value by chance approximately every 100 times in a random database.
- That is, without correcting for multiple testing you will get **~10,000 false positives!!!**
- A Bonferroni correction would suggest using a p-value threshold of  $0.01 / 10^6 = 10^{-8}$ .

# E-values

- A p-value is the probability of making a mistake.
- An E-value is the expected number of times that the given score would appear in a random database of the given size.
- One simple way to compute the E-value is to multiply the p-value times the size of the database.
- Thus, for a p-value of 0.001 and a database of 1,000,000 sequences, the corresponding E-value is  $0.001 \times 1,000,000 = 1,000$ .

*(BLAST actually calculates E-values in a more complex way, but they mean the same thing)*

Sequences producing significant alignments:		Score (bits)	E Value
<a href="#">gi 112670 sp P15711 104K_THEPA</a>	104 KD MICRONEME-RHOPTRY ANT...	<a href="#">1352</a>	0.0
<a href="#">gi 14268530 gb AAK56556.1 </a>	104 kDa microneme-rhoptry antige...	<a href="#">243</a>	1e-62
<a href="#">gi 14268528 gb AAK56555.1 </a>	104 kDa microneme-rhoptry antige...	<a href="#">242</a>	4e-62
<a href="#">gi 14268526 gb AAK56554.1 </a>	104 kDa microneme-rhoptry antige...	<a href="#">238</a>	7e-61
<a href="#">gi 31210185 ref XP_314059.1 </a>	ENSANGP00000015608 [Anopheles ...	<a href="#">37</a>	2.1
<a href="#">gi 22971724 ref ZP_00018655.1 </a>	hypothetical protein [Chloro...	<a href="#">35</a>	9.7
<a href="#">gi 32403566 ref XP_322396.1 </a>	hypothetical protein [Neurospo...	<a href="#">35</a>	12
<a href="#">gi 24639766 ref NP_572189.1 </a>	CG2861-PA [Drosophila melanoga...	<a href="#">34</a>	17
<a href="#">gi 30348569 emb CAC84361.1 </a>	hypothetical protein [Saimiriin...	<a href="#">34</a>	19
<a href="#">gi 6492132 gb AAF14193.1 </a>	spherical body protein 3 [Babesia...	<a href="#">34</a>	20
<a href="#">gi 9629342 ref NP_044542.1 </a>	virion protein [Human herpesvir...	<a href="#">34</a>	21
<a href="#">gi 24639768 ref NP_726958.1 </a>	CG2861-PB [Drosophila melanoga...	<a href="#">34</a>	21
<a href="#">gi 4757118 emb CAB42096.1 </a>	TashAT2 protein [Theileria annul...	<a href="#">34</a>	22
<a href="#">gi 17534529 ref NP_495288.1 </a>	putative protein (2G676) [Caen...	<a href="#">34</a>	22
<a href="#">gi 15241089 ref NP_195809.1 </a>	leucine-rich repeat transmembr...	<a href="#">33</a>	23
<a href="#">gi 43489677 gb EAD99646.1 </a>	unknown [environmental sequence]	<a href="#">33</a>	23
<a href="#">gi 44419062 gb EAJ13596.1 </a>	unknown [environmental sequence]	<a href="#">33</a>	25
<a href="#">gi 43969222 gb EAG41329.1 </a>	unknown [environmental sequence]	<a href="#">33</a>	29
<a href="#">gi 15792145 ref NP_281968.1 </a>	putative oxidoreductase [Campy...	<a href="#">33</a>	34
<a href="#">gi 43926327 gb EAG18073.1 </a>	unknown [environmental sequence]	<a href="#">33</a>	37
<a href="#">gi 39595869 emb CAE67372.1 </a>	Hypothetical protein CBG12848 [...	<a href="#">33</a>	38
<a href="#">gi 30020082 ref NP_831713.1 </a>	Glycosyltransferase [Bacillus ...	<a href="#">33</a>	40
<a href="#">gi 43723946 gb EAF16931.1 </a>	unknown [environmental sequence]	<a href="#">33</a>	41
<a href="#">gi 11545212 gb AAG37800.1 </a>	hypothetical telomeric SfiI frag...	<a href="#">33</a>	44
<a href="#">gi 40788024 emb CAE47751.1 </a>	ubiquitin specific proteinase 5...	<a href="#">32</a>	51
<a href="#">gi 42656951 ref XP_052597.6 </a>	ubiquitin specific protease 53...	<a href="#">32</a>	51
<a href="#">gi 32698642 ref NP_872557.1 </a>	DNA-ligase [Adoxophyes orana g...	<a href="#">32</a>	52
<a href="#">gi 12840300 dbj BAB24814.1 </a>	unnamed protein product [Mus mu...	<a href="#">32</a>	54
<a href="#">gi 28899333 ref NP_798938.1 </a>	4-diphosphocytidyl-2C-methyl-D...	<a href="#">32</a>	55
<a href="#">gi 7243081 dbj BAA92588.1 </a>	KIAA1350 protein [Homo sapiens]	<a href="#">32</a>	62

# Summary

- A [distribution](#) plots the frequencies of types of observation.
- The area under the distribution curve is 1.
- Most statistical tests compare observed data to the expected result according to a [null hypothesis](#).
- Sequence similarity scores follow an [extreme value distribution](#), which is characterized by a long tail.
- The [p-value](#) associated with a score is the area under the curve to the right of that score.
- Selecting a [significance threshold](#) requires evaluating the cost of making a mistake.
- [Bonferroni correction](#): Divide the desired p-value threshold by the number of statistical tests performed.
- The [E-value](#) is the expected number of times that a given score would appear in a random database of the given size.

